Multi-lattice Kinetic Monte Carlo Simulations from First Principles: Reduction of the Pd(100) Surface Oxide by CO

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Supporting Information

ABSTRACT: We present a multi-lattice kinetic Monte Carlo (kMC) approach that efficiently describes the atomistic dynamics of morphological transitions between commensurate structures at crystal surfaces. As an example, we study the reduction of a \((\sqrt{5} \times \sqrt{5})R27°\) PdO(101) overlayer on Pd(100) in a CO atmosphere. Extensive density functional theory calculations are used to establish an atomistic pathway for the oxide reduction process. First-principles multi-lattice kMC simulations on the basis of this pathway fully reproduce the experimental temperature dependence of the reduction rate (Fernandes et al. Surf. Sci. 2014, 621, 31−39) and highlight the crucial role of elementary processes special to the boundary between oxide and metal domains.

KEYWORDS: heterogeneous catalysis, first-principles kinetic Monte Carlo, multi-lattice kinetic Monte Carlo, oxide formation

1. INTRODUCTION

In recent years first-principles (1p) kinetic Monte Carlo (kMC) simulations have established a new standard for the micro-kinetic modeling of surface chemical processes and heterogeneous catalysis.1−6 On the one hand, this approach employs material-specific rate constants for the elementary processes, presently typically computed by density functional theory (DFT) and transition-state theory (TST). On the other hand, and in contrast to still prevalent mean-field rate equation based microkinetic models, the 1p-kMC approach explicitly accounts for spatial heterogeneities, correlations, and fluctuations of the atomic structure. The downside of the approach is the computational cost of the underlying DFT−TST calculations. In practice, 1p-kMC simulations are therefore hitherto performed on lattice models. This exploits the translational symmetry of the crystalline lattice to dramatically reduce the required number of different 1p rate constants.7,8 For simple reaction networks such as the CO oxidation reaction at low-index single-crystal model catalyst surfaces, this number of 1p rate constants can be as low as 10−20, comprising adsorption, desorption, diffusion, and reaction steps at and between the different lattice sites.5,9,10

By construction, the conventional lattice 1p-kMC approach cannot directly be applied to (surface) morphological rearrangements, that is, any type of phase transition that includes changes in the considered lattice site arrangement. Notwithstanding, for the (late) transition-metal catalysts typically employed in oxidation catalysis, a possible formation of (surface) oxides has been discussed. Possibly, especially the continuous formation and reduction of such oxidic films could be a major actuator for the observed catalytic activity.11−19 As a first step to make such systems and phenomena accessible to 1p-kMC, we here describe a multi-lattice 1p-kMC approach that is applicable to morphological transitions between commensurate crystalline lattices. The simple concept is that we work on a super-lattice that contains both lattices simultaneously. In a spatial region describing one stable phase, only the sites of the corresponding lattice are “enabled”. The actual morphological transition is then described through the elementary processes of its atomistic pathway, which “disable” sites of one lattice and “enable” sites of the other lattice.

We illustrate this multi-lattice 1p-kMC idea by modeling the surface oxide reduction at Pd(100). Recent experimental work20 observed significant changes in the rate of oxide reduction in the temperature range from 300 to 400 K, when an initially prepared \((\sqrt{5} \times \sqrt{5})R27°\) PdO(101) surface oxide film (henceforth coined \(\sqrt{5}\)-oxide for brevity)21,22 is exposed to a \(5 \times 10^{-11}\) bar CO atmosphere. Analysis of the data using fitted mean-field kinetic models suggested that the reduction is controlled by boundary processes between surface oxide and metal domains.20 In the present paper, we use extensive DFT calculations to establish an atomistic pathway for the oxide reduction process. On the basis of this pathway, our multi-lattice 1p-kMC simulations perfectly reproduce the observed trends in the reduction rates and show that the critical steps in the reduction of the surface oxide correspond indeed to cross-reactions between the metal domains and the surface oxide.

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2. THEORY

2.1. Kinetic Monte Carlo Simulations for Heterogeneous Catalysis. We focus on thermally driven surface chemical reactions that involve a site-specific (covalent-type) binding of the reaction intermediates. Such reactions generally follow a so-called “rare-event” time evolution. That is, on time scales that can be simulated by ab initio molecular dynamics, all reaction intermediates vibrate only around the lattice sites to which they are bound. Only rarely, that is, after very many such vibrations, does an elementary process change this population at the lattice sites, where the duration of this process itself is very short. A diffusion process brings a reaction intermediate to another site, adsorption and desorption fill and empty sites at the surface, surface reactions convert reaction intermediates into another, etc. During the long time intervals between such individual elementary processes the reaction intermediates equilibrate fully and thereby are assumed to forget through which elementary process they actually reached their current site or state.

Viewing the entire population at all sites as one possible configuration, individual events in the form of elementary processes thus advance the system’s state from one configuration to another. In each configuration reaction intermediates are assumed to have forgotten their past. In this Markov approximation events will occur uncorrelated from previous ones and the time evolution of the system is described by a Markovian master equation

\[ \frac{d\rho_u(t)}{dt} = \sum_v w_{uv}\rho_u(t) - w_{vu}\rho_v(t) \]

where \( \rho_u(t) \) is the probability density for the system to be in configuration \( u \) at time \( t \) and \( w_{uv} \) is the transition rate (in units of time\(^{-1}\)) at which configuration \( u \) changes to configuration \( v \). For heterogeneous catalysis, solving this equation is in practice not feasible by any direct approach.\(^7,8\)

The kMC approach addresses this problem by generating configuration-to-configuration trajectories in such a way that an average over an ensemble of such trajectories yields the correct probability density \( \rho_u(t) \) of eq \( 1.7,8 \) Instead of evaluating and storing the entire transition matrix \( w_{uv} \), a kMC code thus only focuses on-the-fly on those transition matrix elements \( w_{uv} \) that are actually required to propagate the trajectory.

2.2. Efficient 1p-kMC on One or More Lattices. Even with this focus on the required \( w_{uv} \) a kMC simulation can still be an extremely demanding task, if the rate constants determining the \( w_{uv} \) are to be provided through 1p calculations. Emerging so-called adaptive or self-learning kMC approaches\(^9-12\) address this problem by generating look-up tables of already computed \( w_{uv} \) in the course of the simulation. However, an orders of magnitude higher efficiency is needed to make comprehensive 1p-kMC simulations tractable for systems as complex as the present one. This efficiency is achieved when the sites involved in the surface catalysis can be mapped onto a static lattice. Due to the translational symmetry of the lattice, each equivalent site type then features the same group of, in principle, possible elementary processes, and these elementary processes typically depend only on the occupation of nearby sites. Although this does not reduce the number of \( w_{uv} \) required during a 1p-kMC trajectory, it dramatically reduces the total number of inequivalent \( w_{uv} \) and therewith 1p rate constants that need to be computed with DFT-TST. In lattice 1p-kMC these 1p rate constants are then in practice precomputed and stored, to be looked up on demand in the evolving kMC simulation.

Assuming a static arrangement of sites, lattice 1p-kMC cannot directly be applied to systems undergoing a morphological transition. In the present context, this would be the reduction of the initially oxidized surface to a metallic state, where the oxide overlayer and metal substrate exhibit different crystal lattices. With our suggested generalization to multi-lattice 1p-kMC, transitions between commensurable lattices become accessible. The essence of the approach is hereby to choose as basic unit cell of the lattice employed in the kMC simulations a suitable, large enough commensurate cell that embraces all primitive unit cells of the different lattices to be treated. This commensurate cell (and the lattice built from it) then contains as sites simultaneously all sites of all lattices.

To efficiently distinguish (during a simulation) which lattice state a given local region is currently in, we introduce an additional artificial species (canonically named null) to represent “disabled” sites. If, say, a certain region is currently in one lattice structure, then the null species are placed on all sites corresponding to the other lattices in this region. A site “occupied” with a null species is hereby to be distinguished from an empty site. No elementary processes are available at sites occupied by a null species, that is, elementary processes that require an empty site explicitly look for the sites to be empty and not “occupied” by null. In a corresponding region where all sites belonging to the other lattices are disabled through null species, multi-lattice 1p-kMC thus reduces to a regular lattice 1p-kMC simulation and only the elementary processes corresponding to the 1p-kMC model of this lattice can possibly occur.

In this setup, local transitions between the different lattices are finally enabled by introducing new elementary processes that involve the removal or placement of the null species at sites belonging to the lattices involved. Trivially, for the oxide reduction example this could be as simple as an elementary process placing the null species on one or more sites of the oxide lattice and removing the null species from nearby sites of the metal lattice. As shown below for the Pd oxide reduction, local lattice transitions are unlikely that simple, but follow complex, multisteped reaction paths. The additional challenge of setting up and performing a multi-lattice 1p-kMC simulation as compared to traditional lattice 1p-kMC is therefore to establish such atomistic pathways for the lattice transitions and implement them in the form of corresponding elementary processes.

From a purely algorithmic point of view (and as implemented in the kMC modeling framework kmos) multi-lattice kMC is identical to single-lattice kMC, which emphasizes that multi-lattice kMC is highly efficient. The only difference is that those elementary steps accounting for morphological changes typically involve significantly more sites (10–20 sites) than typical ordinary surface reaction steps (1–8 sites). The scaling with the number of conditions (i.e., here sites) has been studied in a previous paper.\(^9\) This shows that the computational scaling with the number of conditions is significant, \( O(n \log(n)) \) to \( O(n^2) \). However, in terms of overhead there is no fundamental difference between single-lattice kMC and multi-lattice kMC.

2.3. Literature 1p-kMC Models of CO Oxidation at the \( \sqrt{5} \)-Surface Oxide and at Pd(100). Our multi-lattice 1p-kMC simulations describing the reduction of the Pd(100) surface initially covered with a \( \sqrt{5} \)-surface oxide film build on
existing 1p-kMC models for CO oxidation at the $\sqrt{5}$-oxide and for CO oxidation at Pd(100). These single-lattice models and the elementary processes considered in them have been established by extensive DFT calculations and have been described in detail before.\textsuperscript{27,28} Here, we only briefly recapitulate their essentials for completeness and as a means to introduce the two lattice types.

Systematic DFT calculations identified the hollow and bridge sites offered by the Pd(100) surface (cf. Figure 1) as stable high-symmetry adsorption sites for O and CO, respectively.\textsuperscript{28} The 1p-kMC CO oxidation model on Pd(100) correspondingly considers all nonconcerted adsorption, desorption, diffusion, and Langmuir–Hinshelwood reaction processes involving these sites.\textsuperscript{28} Oxygen molecules adsorb dissociatively on two empty next-nearest neighbor hollow sites,\textsuperscript{29–32} and CO adsorbs on an empty bridge site. Desorption processes are time reversals of these nonactivated adsorption processes, with rate constants fulfilling detailed balance. Oxygen diffusion occurs between nearest-neighbor hollow sites and CO diffusion between nearest-neighbor bridge sites. Strong short-range repulsive interactions between adsorbed reaction intermediates as calculated by DFT\textsuperscript{33,34} are accounted for through site-blocking rules that prevent processes leading to O–O pairs at nearest-neighbor hollow–hollow distances, to CO–CO pairs closer to or at next-nearest-neighbor bridge–bridge distance, and O–CO pairs at nearest-neighbor hollow–bridge distance.\textsuperscript{28} CO oxidation proceeds via a Langmuir–Hinshelwood mechanism and at the temperatures of interest leads to an instantaneously desorbing CO$_2$ molecule. The rate constants for all of these elementary processes have been computed with DFT–TST, specifically employing the PBE exchange-correlation (xc) functional.\textsuperscript{35} Typical for CO oxidation at transition metal surfaces, O and CO diffusion barriers are significantly lower than the barriers of all other elementary processes involved. In the 1p-kMC simulations this leads to frequent executions of diffusion events at minute time increments. To increase the numerical efficiency and be able to simulate the extended time scales necessary to follow oxide reduction, we artificially increased diffusion barriers for CO of 0.14 eV and for O of 0.28 eV\textsuperscript{28} by 0.5 eV. This is permissible, if diffusion is then still fast enough to achieve an equilibration of the adlayer ordering between the other (rare) elementary processes.\textsuperscript{7} We validated that this is indeed the case by performing the 1p-kMC simulations with diffusion barriers increased by 0.6 and 0.7 eV without obtaining any significant changes in the oxide reduction rates reported below.

As established by detailed first-principles statistical mechanics simulations by Rogal et al.,\textsuperscript{27,28} only two of the high-symmetry adsorption sites offered by the intact $\sqrt{5}$-oxide are relevant for the reducing environments considered here: a bridge and a hollow site as illustrated in Figure 2. Equivalent to the Pd(100)

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**Figure 1.** Illustration of the lattice employed in the 1p-kMC model of CO oxidation at Pd(100). Shown is a top view of the Pd(100) surface with a primitive unit cell and its hollow (yellow circle) and bridge (green rectangle) sites. CO molecules adsorb at bridge sites, and oxygen molecules adsorb (dissociatively) at hollow sites. Here and henceforth Pd atoms in fcc(100) positions are depicted as large blue spheres, O atoms as small red spheres, and C as small gray spheres.

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**Figure 2.** Illustration of the lattice employed in the 1p-kMC model of CO oxidation at the $\sqrt{5}$-oxide. Shown is a top view of the ($\sqrt{5} \times \sqrt{5}$) R27° PdO(101) surface oxide reconstruction with a primitive unit cell of the oxide layer and its hollow (yellow circle) and bridge (green rectangle) sites. O and CO adsorb at both site types. In the stoichiometric termination shown, O atoms occupy all hollow sites. Here and henceforth Pd atoms in surface oxide positions are depicted as large green spheres.

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2.4. Computational DFT and kMC Settings. The multi-lattice 1p-kMC simulations of the oxide reduction process consider additional elementary processes to model the atomistic pathway from oxide to metal lattice. This pathway and the involved elementary processes are described under sections 3.2–3.4. Their 1p rate constants have been calculated with DFT employing the same TST-based expressions\textsuperscript{57} and the same PBE xc functional\textsuperscript{35} as used in the Pd(100) and $\sqrt{5}$-oxide 1p-kMC models. Employing the same computational setup as detailed in ref 28, the DFT calculations have been performed with the CASTEP package\textsuperscript{58} via the Atomic Simulation Environment.\textsuperscript{59} Previous work\textsuperscript{28,29} established that
the employed standard library of ultrasoft pseudopotentials accurately reproduces the full-potential √5-oxide adsorption energetics of Rogal et al. 27 Geometry optimizations and transition-state searches were done with supercell geometries containing asymmetric slabs with one (frozen) layer of Pd(100) and one layer of PdO(101), separated by at least 10 Å vacuum. Geometry optimizations were performed using the BFGS method with a force threshold of 0.05 eV/Å. The energetics of the thus obtained intermediate geometries were refined in single-point calculations with further Pd(100) layers added to the slab. Transition-state searches have been performed with the climbing-image nudged elastic band method 30 or simple single-point calculations with further Pd(100) layers added to the surface oxide. Systematic tests with various simulation cell sizes showed already a total of 19 sites from both the metal and the oxide. In the multi-lattice case one commensurate unit cell contains (40 × 4 × 1) k-point grid for the commensurate surface oxide unit cell and a [2 × 2 × 1] k-point grid for the (2 × 2) surface oxide unit cell in the slab calculations, convergence tests demonstrate a numerical convergence of the obtained DFT barriers and binding energies to within 0.1 eV. The gas-phase calculations for O2 and CO were performed in a cubic supercell of 15 Å box length and Γ-point sampling. At a plane-wave cutoff of 400 eV and a [4 × 4 × 1] k-point grid for the commensurate surface oxide unit cell and a [2 × 2 × 1] k-point grid for the (2 × 2) surface oxide unit cell in the slab calculations, convergence tests demonstrate a numerical convergence of the obtained DFT barriers and binding energies to within 0.1 eV. All 1p-kMC simulations have been performed using the kmos framework. 8 The periodic boundary simulation cell contains (40 × 20) √5-unit cells and thus 1600 sites in the case of the single-lattice 1p-kMC simulations on the surface oxide. Systematic tests with various simulation cell sizes showed the obtained oxide reduction rates to be fully converged at this setting. In the multi-lattice case one commensurate unit cell contains already a total of 19 sites from both the metal and the oxide lattice. We employed periodic boundary simulation cells comprising (10 × 20), (20 × 20), and (40 × 20) such commensurate unit cells to specifically assess the role of elementary processes across oxide and metal domains (see below). In all cases, averages over 10 kMC trajectories starting with different random number seeds were taken to obtain the correct transient quantities.

3. RESULTS

3.1. Single-Lattice 1p-kMC Simulations of Oxide Reduction. As mentioned initially, the central objective of our simulations is to analyze the oxide reduction rates obtained in recent high-resolution X-ray photoelectron spectroscopy experiments by Fernandes et al. 25 Within a small range of temperatures, they reported large variations for the total time required to fully reduce an initially prepared √5-oxide layer by exposure to a pure CO atmosphere of 5 × 10−11 bar. Specifically, at 303 K the oxide was reduced after approximately 90 min, whereas the same process took only approximately 12 min at 343 K and <4 min at 393 K. Previous theoretical work addressing the surface oxide stability employed the 1p-kMC approach with a single lattice, namely, the √5-oxide 1p-kMC model. They monitored the coverage of O in the hollow sites as an indicator for the oxide stability. 27 Using this criterion 1p-kMC simulations fail qualitatively to reproduce the experimental findings of Fernandes et al. Even at the highest temperature of 393 K, no reduction of the oxide is observed at all after 120 min, that is, the oxygen coverage remains essentially intact over the entire time. This can be explained by the lack of CO on the surface, which implies that an efficient removal of oxygen via CO oxidation is not possible.

Because an error in the reported experimental conditions large enough to explain this discrepancy seems unlikely, we focus on the uncertainty in the DFT parameters deployed in the model. A possible reason for this discrepancy could be that the relevant CO adsorption energy of −0.92 eV as calculated by DFT–PBE is too small and/or the CO reaction barrier toward CO2 formation of 0.9 eV 27 is too high. We explore these possibilities by running the 1p-kMC simulations using modified rate constants. Specifically, we vary the CO oxidation barrier and the CO adsorption energy on the bridge and hollow sites within ±1 eV. However, no such kMC simulation reproduces the experimentally observed behavior: either the time scale for reduction is too long or too short for all three temperatures or, if the simulations exhibit a correct time scale for at least one of the temperatures, then the trend with temperature is wrong, that is, the reduction time does not decrease for each higher temperature as found in the measurements. We illustrate this in Figure 3 for two cases where at least partial agreement with the experiment is obtained: When the CO adsorption energy is lowered by 0.2 eV and the CO reaction barrier is left unchanged, reasonable reduction time scales are obtained for all three temperatures, but the ordering is wrong. At the intermediate temperature of 343 K, reduction is slower than at the lower temperature of 303 K. When instead the CO adsorption energy is lowered by 0.5 eV and the CO oxidation barrier is simultaneously increased by 0.15 eV, this ordering is
correct, but the time scale for oxide reduction at 303 K is much too long.

It is difficult to generally rule out that also varying the rate constants of all other elementary processes would not coincidentally yield a parameter set that reproduces the experimental reduction times. Nevertheless, the failure to obtain such a parameter set when modifying the two processes that most obviously relate to the reduction time let us believe that a shortcoming of the employed 1p rate constants is unlikely the reason for the qualitative discrepancy to the measurements. We therefore tend to conclude that the reduction of the $\sqrt{5}$-oxide layer does not happen at intact oxide patches (for which the O coverage at the hollow sites is a useful stability indicator).

### 3.2. Atomistic Pathway for the $\sqrt{5}$-Oxide Reduction.

In the context of oxide formation and reduction, efficient cross-reactions at the boundary between oxide and metal domains have long been discussed. Fernandes et al. reported long induction times of the order of minutes at all measured temperatures. This renders a crucial role of cross-reactions at extended surface imperfections such as steps or dislocations rather unlikely. Instead, we suspect that a slow initial reduction of ideal oxide terraces locally generates minimal Pd(100) patches that then enable an efficient continued reduction through cross-reactions. Admittedly, also for this suggestion the observed induction time is somewhat long. To scrutinize this picture with multi-lattice 1p-kMC simulations, we therefore established an atomistic pathway from the intact $\sqrt{5}$-oxide to reduced Pd(100) and focus on the structural evolution of the surface oxide film when progressively removing O atoms. These studies are consistently performed with large supercell models spanning four $\sqrt{5}$-oxide surface unit cells in a $(2 \times 2)$ arrangement as shown in Figure 4. This model is large enough to study the effects of O removal on one $\sqrt{5}$ cell that is still surrounded by intact $\sqrt{5}$-oxide units in every direction. For these studies, an important structural aspect of the commensurate $\sqrt{5}$-oxide/Pd(100) interface is that in every $\sqrt{5}$-oxide unit cell two of the four Pd atoms in the oxide layer are located in four-fold hollow sites of the underlying Pd(100) substrate, with the other two being rather close to bridge sites (cf. Figure 4). After complete reduction, the Pd atoms of the surface oxide will form domains of a new Pd(100) layer, in which they are also located in the four-fold hollow sites. This means that during the local oxide decomposition two of four Pd atoms in the oxide layer do not necessarily have to significantly change their lateral position.

In the stoichiometric $\sqrt{5}$-oxide termination there are four O atoms per $\sqrt{5}$ unit cell. Two are located above the Pd atoms of the oxide layer (occupying the hollow sites described above) and two below, as shown in Figure 4. In agreement with previous DFT studies performed in smaller supercells, we find no significant structural changes upon removal of any one of these four O atoms in one $\sqrt{5}$ unit cell of our model. In particular, none of the oxide Pd atoms change their lateral registry. The same is observed when pairs of O atoms are removed in all possible combinations. Apparently neither single O vacancies nor O divacancies lead to an unactivated destabilization of the $\sqrt{5}$-oxide structure.

In this situation, we focus on a straightforward way to generate a minimal Pd(100) patch within the surface oxide layer. We explore if this (together with an increasing number of O vacancies) does not constitute a pathway with at least a very low activation barrier. This pathway centers on the above-mentioned realization that two of the four Pd atoms in the $\sqrt{5}$-oxide unit cell are already located in four-fold hollow sites with respect to the underlying Pd(100) substrate. A direct way to convert one entire $\sqrt{5}$ cell into a Pd(100)-type patch would therefore be to simply shift the other two Pd atoms from their close to bridge positions to adjacent hollow sites. As illustrated in Figure 4, there are three ways to realize this: either both Pd atoms move to hollow sites in the same direction (cases I and II) or the two Pd atoms move to hollow sites in opposite directions (case III). If we enforce such lateral shifts on the Pd atoms of one unit cell for the intact surface oxide, they relax back to their original positions upon geometry optimization. The same holds, if this is done with one O vacancy in the $\sqrt{5}$-oxide unit cell or when only one of the two Pd atoms is moved.

In contrast, metastable structures result when the same movements are applied in the presence of an O divacancy. Specifically this is when the two upper O atoms, labeled A and B in Figure 4, that are directly coordinated to one of the moving Pd atoms are removed. For the three cases defined in Figure 4 we calculate the relative energies of the resulting metastable structures compared to the original divacancy structure with the Pd atoms in their regular positions. They are $-0.40$ eV (I), $-0.25$ eV (II), and $+0.17$ eV (III). Cases I and II lead therefore to more stable intermediate structures. In particular for the most stable case I, we furthermore find only a negligibly small activation barrier below 0.1 eV. This suggests the following initial steps in the oxide reduction pathway: Upon local formation of an O divacancy as shown in Figure 5a, the two bridge-site Pd atoms of the corresponding $\sqrt{5}$-oxide cell shift laterally and essentially unactivated to yield the intermediate structure shown in Figure 5b. This metastable structure is more stable than the divacancy by 0.4 eV. It already features a minimal Pd(100) patch with...
The Pd(100) patch is the central new feature within the obtained oxide reduction pathway. During initial oxide reduction it may emerge upon sufficient local oxygen depletion of the $\sqrt{5}$-oxide. Its existence may then enable new elementary processes specific to either the patch itself or the boundary between patch and surrounding oxide. As the next step we therefore proceed to a systematic identification and description of corresponding processes. As starting point for this we first consider the binding at the different high-symmetry sites illustrated in Figure 6. This comprises sites on top of the patch and at its boundary. Specifically, sites labeled A–D and site E correspond to Pd(100)-type bridge and hollow sites on the patch, respectively. Sites F–J are sites directly at the patch/oxide boundary, whereas site K corresponds to a $\sqrt{5}$-oxide bridge site in the immediate vicinity of the patch. Table 1 summarizes the computed binding energies at these sites for the two "oxidation" stages of the patch along the oxide reduction pathway: With the subsurface oxygen atom still beneath it (cf. Figure 5b) and without it. The latter situation results as a consequence of the activated diffusion of the O atom to the on-surface hollow site of the patch and subsequent reaction or diffusion (vide infra) and is the situation depicted in Figure 6.

With the exception of site B directly adjacent to the subsurface O atom, the effect of the latter atom on the binding properties of the sites on top of the patch is rather small. Quite similar binding energies are obtained for these sites for the two cases. Cum grano salis, these binding energies on the patch sites are also quite similar to those computed for the extended Pd(100) surface. Roughly, we obtain a preferred O binding at the hollow site E of about $\sim$1.25 eV. This should be compared to $\sim$1.25 eV at the corresponding site on Pd(100). In turn, the calculated preferred CO binding at the hollow site E of about $\sim$1.4−2.1 eV should be compared to $\sim$1.93 eV at bridge sites of Pd(100). Without the presence of the subsurface O atom this also extends to the bridge sites F and H at the interface between the patch and the $\sqrt{5}$-oxide. Again, the CO
Table 1. Calculated DFT Binding Energies for CO and O at High-Symmetry Sites on Top of the Pd(100) Patch and at Its Boundarya

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“Shown are results for the situation with the subsurface O atom still beneath the patch and without the subsurface O atom. Sites A–K are defined in Figure 6. Fields marked with a letter indicate that the adsorbate moved to the respective site during geometry optimization. Fields marked “−” indicate sites for which no binding was obtained. Binding in sites marked with an asterisk ended up in a threefold coordination after geometry optimization. All energies are in eV.

Binding properties are very similar to regular bridge sites at extended Pd(100). Furthermore, considering the close geometric and energetic vicinity of these different sites, we therefore also expect similarly low diffusion barriers as on Pd(100). Correspondingly, there will be a predominant presence of the adsorbates in the most favorable sites, that is, CO in bridge site D and O in hollow site E at the temperatures employed in the oxide reduction experiments.

Multiple adsorption on one patch in the form of CO adsorption at several bridge sites, or O and CO coadsorption on hollow and bridge sites, respectively, is not possible according to our DFT calculations. Also in this respect, adsorption at the Pd(100) patch seems very similar to adsorption at extended Pd(100). Likewise, the disturbance of the √5-oxide created by the Pd(100) patch seems quite short-ranged. Already at the oxide bridge site K directly adjacent to the patch, O and CO binding is essentially identical to binding at the bridge site on the stoichiometric √5 termination. The CO binding is calculated as −1.03 and −1.10 eV at site K (with and without subsurface O underneath, the adjacent Pd(100) patch) and as −1.08 eV on the intact √5-oxide. The O binding energy is 0.30, −0.37, and −0.19 eV for the three cases, respectively. Finally, the binding at the interfacial sites F–I in the presence of the subsurface oxygen atom and at the interfacial site H without the subsurface O atom is intermediate to the one obtained on the patch and on the intact √5-oxide.

In light of these results, we can now systematically analyze the new elementary processes that may arise due to the patch under the reducing conditions of the experiment and assess their qualitative relevance for the oxide reduction process. CO adsorption and desorption processes can take place at the patch bridge sites, with a maximum coverage of one CO molecule on a patch. This is regardless of whether the subsurface O atom is still present underneath the patch or not. CO diffusion on the patch itself will be fast and will lead to a preferential population of bridge site D at the temperatures employed in the reduction experiments. After coalescence of nuclei to larger Pd(100) domains, equivalent processes (CO adsorption, desorption, diffusion) will occur, with properties as on extended Pd(100). In principle, CO diffusion could also occur from patch (or Pd(100) domain) to oxide or vice versa. An important point to realize here, however, is that CO binding at the patch (and at Pd(100)) is about 1 eV more stable than at the hollow or bridge sites of the √5-oxide. This already imposes a quite large mere thermodynamic barrier for CO diffusion onto the oxide. Simultaneously, CO diffusion processes from the oxide to the patch will be rare, owing to the near-zero CO coverage on the surface oxide under the conditions of the reduction experiments (cf. section 3.1).

If all sites A–E of the patch are unoccupied, the subsurface O atom can pop up into the on-surface four-fold hollow site E of the patch, with a calculated barrier of 0.66 eV. The reverse process is possible with a corresponding barrier of 0.77 eV. From the patch site E the oxygen atom can diffuse to an adjacent vacant hollow site of the surrounding oxide, or vice versa. Because O binding is about 0.4 eV more stable at the oxide hollow sites, this diffusion will preferentially take oxygen away from the patch (or coalesced larger Pd(100) domains). For O diffusion between the oxide hollow sites directly adjacent to the patch (those above site K in Figure 6) we find a slightly lowered diffusion barrier of 1.1 eV as compared to the 1.4 eV for the diffusion over the intact surface oxide. This lowering can be rationalized with the higher geometric flexibility of the underlying Pd atoms in the partially reduced surface oxide environment. Oxygen diffusion from site E to adjacent bridge sites of the √5-oxide face a similarly large thermodynamic barrier as the CO diffusion processes due to the much weaker O binding at these oxide sites. As these bridge sites are furthermore essentially all unoccupied under the conditions of the reduction experiments, the reverse diffusion processes bringing O atoms from adjacent oxide bridge sites to the patch will also occur at a negligibly low rate. Associative oxygen desorption requires two nearby O atoms. It can thus only take place either after coalescence of nuclei (which creates nearest-neighbor Pd(100)-type hollow sites) or in case of an individual patch by involving one O atom from patch site E and one O atom from nearest-neighbor O hollow sites of the oxide. Due to the similar binding energies of O on both metal and oxide, such processes are as rare as they were in the single-lattice 1p-kMC simulations focusing only on the surface oxide. Correspondingly, such processes are unlikely to have a relevant effect on the reduction kinetics.

Finally, new CO oxidation reactions can be enabled at the patch or its boundary. One possibility is a reaction of a CO molecule adsorbed on the patch with the subsurface O atom. For a CO molecule occupying the preferred bridge site D, we calculate a barrier of 1.27 eV for this process. This is rather high for this process to play a significant role at the temperatures employed in the experiments. Alternatively, the CO molecule could react with O atoms of the surrounding √5-oxide. Focusing on the preferred binding site D, Figure 7 illustrates the four corresponding reactions to the closest such O atoms and compiles the calculated barriers for these processes. Only one of these processes exhibits a barrier below 1 eV and should therewith exhibit a similar reactivity as the reaction processes occurring on either Pd(100) or the √5-oxide. As a last possibility, an O atom occupying the on-surface site E of the patch could react with a nearby adsorbed CO molecule. Due to the near-zero CO coverage on the oxide during the reduction experiments, such a process is unlikely to occur with a CO molecule adsorbed on the √5, though. At the same time, the
strong repulsive interactions prevent CO adsorption at bridge sites A–D of the patch if an O atom occupies the hollow site E. This leaves as the only possibility for such a cross-reaction, the reaction with a CO molecule adsorbed at bridge site F (cf. Figure 6). In general, such a reaction process cannot have a strong influence on the oxide reduction process, though. As O diffusion from the $\sqrt{5}$-oxide onto the patch is rare, this process will predominantly occur after the subsurface O atom has popped up to the surface. The process then takes place once per formed patch and is thereafter unlikely to reoccur.

Summarizing these insights, the qualitatively new feature emerging from the presence of a formed Pd(100) patch during the oxide reduction process is the significantly enhanced stabilization of CO at the patch as compared to the $\sqrt{5}$-oxide. Whereas the CO coverage on the latter is close to zero under the reducing conditions of the experiment, a much higher CO coverage can thus be expected at formed patches. An oxidation process. Yet, it is still faster than the oxide-only reaction involving two neighboring hollow sites on the $\sqrt{5}$-oxide (cf. Figure 5) is formed during the 1p-kMC simulation. The patch formation is then modeled as a nonactivated process; that is, it automatically follows the formation of the vacancy. In terms of the multi-lattice representation the patch formation corresponds to deactivating the two bridge and two hollow sites associated with the corresponding $\sqrt{5}$-oxide unit cell by changing their occupation status to null. In their place, Pd(100) lattice sites are activated. Specifically, this concerns Pd(100) bridge sites at sites labeled A–D, F, and H in Figure 6, the occupation of which is changed from null to empty. Furthermore, a Pd(100) hollow site is created empty at the site labeled E in Figure 6. The quenched-in oxygen atom is modeled to remain at its subsurface $\sqrt{5}$-oxide site. However, now that the Pd(100) hollow site labeled E in Figure 6 is created, this subsurface O atom can hop there with a barrier of 0.66 eV. However, this is only if the Pd(100) hollow site and its four nearest-neighbor Pd(100) bridge sites are empty in accordance with the site-blocking rules used in the Pd(100) single-lattice 1p-kMC model. From there, the oxygen atom can reverse the diffusion with a barrier of 0.77 eV. Alternatively, if there are already other immediately adjacent Pd(100) patches, it can also regularly diffuse to corresponding nearest-neighbor Pd(100) hollow sites. O diffusion from the patch to surrounding $\sqrt{5}$-oxide (and the reverse back-diffusion process) exhibits a barrier of 0.5 eV (0.9 eV). The hollow–hollow diffusion of oxygen on the $\sqrt{5}$-oxide immediately adjacent to a Pd(100) patch has a barrier of 1.1 eV and is therewith accelerated as compared to diffusion on the intact $\sqrt{5}$ with its barrier of 1.4 eV.

The second oxygen atom that is originally in the subsurface $\sqrt{5}$-oxide site and pops up to the surface during the formation of the Pd(100) patch (cf. Figure 5b) has a binding energy of 1.42 eV, irrespective of whether the other adjacent quenched-in subsurface O atom is still present or not. Even though thus exhibiting a somewhat stronger binding by 0.17 eV, we still approximate this as a regular O atom in a Pd(100) hollow site. In the multi-lattice scheme we correspondingly activate another Pd(100) hollow lattice site at the location that is obtained by going from site E one lattice constant to the left in Figure 6 and directly occupy it with the popped-up O atom. Simultaneously, the subsurface $\sqrt{5}$-oxide site in which the oxygen atom resided before the patch formation is deactivated by setting its occupation to null.

A local reduction begins whenever an oxygen divacancy involving two neighboring hollow sites on the $\sqrt{5}$-oxide (cf. Figure 5) is formed during the 1p-kMC simulation. The patch formation is then modeled as a nonactivated process; that is, it automatically follows the formation of the vacancy. In terms of the multi-lattice representation the patch formation corresponds to deactivating the two bridge and two hollow sites associated with the corresponding $\sqrt{5}$-oxide unit cell by changing their occupation status to null. In their place, Pd(100) lattice sites are activated. Specifically, this concerns Pd(100) bridge sites at sites labeled A–D, F, and H in Figure 6, the occupation of which is changed from null to empty. Furthermore, a Pd(100) hollow site is created empty at the site labeled E in Figure 6. The quenched-in oxygen atom is modeled to remain at its subsurface $\sqrt{5}$-oxide site. However, now that the Pd(100) hollow site labeled E in Figure 6 is created, this subsurface O atom can hop there with a barrier of 0.66 eV. However, this is only if the Pd(100) hollow site and its four nearest-neighbor Pd(100) bridge sites are empty in accordance with the site-blocking rules used in the Pd(100) single-lattice 1p-kMC model. From there, the oxygen atom can reverse the diffusion with a barrier of 0.77 eV. Alternatively, if there are already other immediately adjacent Pd(100) patches, it can also regularly diffuse to corresponding nearest-neighbor Pd(100) hollow sites. O diffusion from the patch to surrounding $\sqrt{5}$-oxide (and the reverse back-diffusion process) exhibits a barrier of 0.5 eV (0.9 eV). The hollow–hollow diffusion of oxygen on the $\sqrt{5}$-oxide immediately adjacent to a Pd(100) patch has a barrier of 1.1 eV and is therewith accelerated as compared to diffusion on the intact $\sqrt{5}$ with its barrier of 1.4 eV.

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### Table 2. DFT Parameters Entering Rate Constants of Elementary Processes Near the Pd(100)/PdO($\sqrt{5} \times \sqrt{5}$) R27° Domain Boundary

<table>
<thead>
<tr>
<th>process</th>
<th>barrier (eV)</th>
</tr>
</thead>
<tbody>
<tr>
<td>oxide reconstruction</td>
<td>0.0</td>
</tr>
<tr>
<td>O spillover</td>
<td>0.66</td>
</tr>
<tr>
<td>O reverse spillover</td>
<td>0.77</td>
</tr>
<tr>
<td>O hollow diffusion near nucleus</td>
<td>1.1</td>
</tr>
<tr>
<td>cross-reaction (E)</td>
<td>0.95</td>
</tr>
</tbody>
</table>
If the new patch lies next to already existing patches, CO diffusion from neighboring Pd(100) sites onto bridge sites of the new Pd(100) patch is possible, if the nearest-neighbor hollow as well as all up to next-nearest-neighbor bridge sites are empty, again in accordance with the site-blocking rules for CO diffusion in the Pd(100) single-lattice 1p-kMC model.28 If all Pd(100) patch bridge and hollow sites are empty, nonactivated CO adsorption onto a bridge site is possible. CO desorption has a desorption barrier of 1.93 eV and obeys detailed balance with the adsorption process as in the Pd(100) single-lattice 1p-kMC model. As additional reaction processes we consider only the most likely process labeled E in Figure 7 with a barrier of 0.95 eV, involving a CO molecule adsorbed at site D of the patch and an O atom adsorbed at a directly adjacent upper hollow √5-oxide site.

With these prescriptions and new processes the multi-lattice 1p-kMC simulation will allow for the generation of Pd(100) patches, which if generated next to each other will increasingly lead to larger Pd(100)-type domains in the course of a reduction condition simulation. As a Pd(100) unit cell of the commensurate √5-oxide/Pd(100) interface exhibits only four-fifths of the Pd atom density, these patches will not correspond to dense Pd(100) terraces, though. Instead, they will contain a regular array of Pd vacancies. In reality, fast Pd self-diffusion will lead to the coalescence into closely packed Pd(100) islands and connected with it to an entire class of additional elementary processes. However, in the here-proposed atomistic pathway (cf. Figure 5), the Pd(100)-patch formation step shifts the two mobile Pd atoms in such a way that the emerging Pd(100) vacancy is located farthest from the relevant √5-oxide/Pd(100) domain boundary, where the cross-reaction E of Figure 7 can take place. As such we anticipate that the coalescence of Pd vacancies through Pd self-diffusion occurs predominantly displaced from the reduction front and then has no effect on the actual reduction kinetics. Within this view, we neglect Pd self-diffusion in the multi-lattice 1p-kMC model, such that the end product of a complete reduction of the √5-oxide film corresponds to a Pd(100) surface with a regular array of Pd vacancies.

3.5. Multi-lattice 1p-KMC Simulations of Oxide Reduction. Within the multi-lattice 1p-kMC model we now address the oxide reduction experiments of Fernandes et al. The qualitatively new feature introduced by the explicit account of reduced oxide patches in the multi-lattice simulations is the possibility of reduction through cross-reactions at √5-oxide/Pd(100) domain boundaries. Within the picture of some initial slow reduction during the long induction time seen in the experiments, we employ kMC simulation cells, in which initially one row of √5-oxide along the [010] direction is already removed (cf. Figure 8). To assess the sensitivity on this point, we conduct the multi-lattice 1p-kMC simulations using (10 x 20), (20 x 20), and (40 x 20) cells, thereby systematically varying the width of the initially intact √5-oxide domain. As demonstrated in Figure 9, we obtain only small variations in the oxide reduction times. These do not affect all the conclusions put forward below and confirm the understanding that the oxide reduction rates seen in experiment are governed by a fast reduction following some slow activation during the induction period.

Figure 9 shows the surface oxide coverage as a function of time using the experimental conditions of 5 x 10⁻¹¹ bar CO pressure and temperatures of 303, 343, and 393 K. In contrast to the single-lattice 1p-kMC simulations discussed in section

![Figure 8. Illustration of the initial configuration used in the multi-lattice 1p-kMC simulations. For clarity, only a small (4 x 8) commensurate √5-oxide cell area of the larger simulation cell is shown. One row of √5-oxide cells has been reduced to Pd(100) nuclei along the (100) direction of the multi-lattice kMC model. Shown in parentheses are the corresponding crystallographic axes of the PdO crystal.](Image 354x258 to 535x375)

![Figure 9. Multi-lattice 1p-kMC simulations of the √5-oxide reduction at the three experimentally employed temperatures. Shown is the fraction of the surface covered by the surface oxide as a function of time using a (20 x 20) (solid, bold lines), a (10 x 20) (dashed, thin lines), and a (40 x 20) (dotted, thin lines) simulation cell. The slight difference in the initial √5-oxide fraction for the different cell sizes results from the fact that in every cell initially one row of oxide is removed.](Image 373x466 to 516x749)
within the surface oxide domain, whereas at the higher temperatures the ongoing reduction is primarily driven by cross-reactions over √5-oxide/Pd(100) domain boundaries. The latter is a natural consequence of the enhanced CO binding at the Pd(100) nuclei as compared to the √5-oxide. At the higher temperatures CO is then predominantly stabilized on the Pd(100) patches at the surface, concomitantly enhancing the cross-reaction compared to the regular CO oxidation within the surface oxide domain. This change in the mechanism behind the oxide reduction leads to a temperature dependence of the reduction time that is impossible to grasp within a single-lattice model, regardless of how one tries to tweak the underlying rate constants.

4. SUMMARY AND CONCLUSIONS

We present a general multi-lattice 1p-kMC approach that allows us to describe surface morphological transitions within lattice kMC, as long as these transitions occur between structures exhibiting commensurate lattices. This approach allows us to evaluate long-time kinetic behavior subject to corresponding transitions and is efficient enough to be based on 1p rate constants. Additionally required compared to traditional single-lattice 1p-kMC is a detailed atomistic pathway for the transition, which then needs to be mapped into the 1p-kMC model in the form of new elementary processes.

We illustrated the approach by addressing recent oxide reduction experiments on a Pd(100) model catalyst by Fernandes et al. Single-lattice 1p-kMC simulations monitoring the O coverage as an oxide stability indicator cannot account for the temperature dependence of the reduction time observed in the experiments. This holds even when the first-principles character of the simulations is abandoned and the rate constants of relevant elementary processes are optimized to fit the experimental data. In particular, at more elevated temperatures, the limitations in stabilizing CO at the surface oxide lead to reduction times that are much too long. This leads us to conclude that the continued oxide reduction subsequent to a long induction period seen in the measurements is not governed by processes at intact oxide patches.

Extensive DFT calculations were correspondingly used to establish an atomistic reduction pathway that generates local Pd(100) patches. An important rational in this development was the realization that several oxide metal atoms do not necessarily have to change their geometric position during the transition from oxide to pristine metal. The choice to only consider pathways where corresponding position changes are not taking place then leads to a drastic reduction in the space of possibly stable intermediates and could also be a useful strategy to conceive complex reconstruction mechanisms in other systems.

Very good agreement with the measurements is obtained with the multi-lattice 1p-kMC simulations on the basis of the proposed oxide reduction pathway. The crucial new feature leading to this improvement is the possibility for CO oxidation reactions across √5-oxide/Pd(100) domain boundaries. These cross-reactions constitute a largely varying contribution to the overall oxide reduction within the temperature range covered by the experiments and therewith generate a temperature dependence of the reduction rate that cannot be effectively described by a single-lattice model.

In the catalysis context an interface-controlled reactivity is often emphasized. As long as phases with commensurate lattices are involved, multi-lattice 1p-kMC offers the exciting perspective to scrutinize this perception within comprehensive microkinetic modeling. The present work already contributes to this by highlighting that even though reaction barriers may be quite similar on the metal, on the surface oxide, and across the metal/oxide domain boundary, the latter could still be the dominant reaction mechanism due to the steady-state coverages around the metal/oxide interface. For the present model to directly address steady-state CO oxidation activity, primarily additional elementary processes are required that describe surface oxidation, in particular, dissociative oxygen adsorption at highly O-precovered surfaces, for example, featuring Pd(100) patches surrounded by √5-oxide.

ASSOCIATED CONTENT

Supporting Information

The following file is available free of charge on the ACS Publications website at DOI: 10.1021/cs501352t.

Supplemental figures and table (PDF)

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